torney Docket No. : CGW-235.1US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE?

Applicant

George H. Beall and David L. Weidman

Appl. No.

09/203,166

Filed

December 1, 1998

For

ATHERMAL OPTICAL DEVICES EMPLOYING

NEGATIVE EXPANSION SUBSTRATES (As Amended) #14/ Most c

Examiner

A. Ullah

Group

2874

Commissioner of Patents and Trademarks Washington, D.C. 20231

AMENDMENT

In response to the Office Action dated January 22, 2001, please amend the above-identified application as follows:

IN THE CLAIMS:

Please amend the claims as follows:

- 1. Amend Claims 52, 54, 55, and 59 as indicated below.
- Cancel, without prejudice, Claims 51 and 53. $\rightarrow 2$.

A copy of original Claims 52, 54, 55, and 59 annotated to show the changes made by this amendment is attached as Exhibit A.

52. An athermal optical device comprising:

a negative expansion substrate having an upper surface; and

a thermally sensitive, positive expansion optical component

affixed to the substrate upper surface at at least two spaced apart locations;

wherein the optical component is an optical fiber grating.

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CERTIFICATE OF MAILING

I hereby certify that the following correspondence attached hereto is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the date below: TECHNOLOGY CENTER 2800

- 1. Amendment (9 pages; with attached Exhibits A-C)
- 2. Amendment Transmittal (2 pages)
- Check for \$160.00 3.
- Certificate of Mailing (1 page; this page) 4.
- Return Receipt Postcard (1 card) 5.

Date Mailed:

April 6, 2001

Maurice M. Klee, Ph.D.

ttorney Docket No. : CGW-235.1US

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AMENDMENT TRANSMITTAL

Transmitted herewith is an amendment in the above-identified application.

LARGE ENTITY

The fee has been calculated as shown below.

Total claims remaining after amendment	19		
Highest total claims previously paid for	<u>21</u>		
Number extra	0	x 18	\$ 0.00
Total independent claims remaining after			
amendment	7		
Highest independent claims previously			
paid for	<u>5</u>		
Number extra.	2	x 80	<u>\$ 160.00</u>
Total			\$ 160.00

A check in the amount of \$160.00 is attached.

The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment, to Deposit Account No. 11-1158.

Throughout the prosecution of this application, the Commissioner is authorized to charge all fees under 37 CFR 1.17, including all required extension of time fees, to Deposit Account No. 11-1158.

Respectfully submitted,

Date: 4/6/0/

Maurice M. Klee, Ph.D.

Reg. No. 30,399

Attorney for Applicant

1951 Burr Street

Fairfield, CT 06430

(203) 255-1400